

02/04/02

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

UTL 00151

First Inventor

Paul J. Smith

Title

Device and System for Recessing a Fastener on a Printed Wire Board

Express Mail Label No.

ET201821144US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
- ☒ Specification [Total Pages **21**]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **4**]
- Oath or Declaration [Total Pages **2**]
  - ☒ Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☐ paper
  - ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☒ Other: Credit Card Payment Form

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ / \_\_\_\_\_  
Prior application information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label ☒ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

|         |                                                 |           |              |          |              |
|---------|-------------------------------------------------|-----------|--------------|----------|--------------|
| Name    | Kyocera Wireless Corp., Attn: Patent Department |           |              |          |              |
| Address | PO Box 928289                                   |           |              |          |              |
| City    | San Diego                                       | State     | CA           | Zip Code | 92192-8289   |
| Country | USA                                             | Telephone | 858-882-2000 | Fax      | 858-882-3650 |

|                   |                      |                                   |                  |
|-------------------|----------------------|-----------------------------------|------------------|
| Name (Print/Type) | William J. Kolegraff | Registration No. (Attorney/Agent) | 41,125           |
| Signature         |                      | Date                              | February 4, 2002 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PTO U.S. PATENT  
10/067447  
02/04/02

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**FEE TRANSMITTAL**  
**for FY 2002**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$) **812.00****Complete if Known**

|                      |                  |
|----------------------|------------------|
| Application Number   | To Be Assigned   |
| Filing Date          | February 4, 2002 |
| First Named Inventor | Paul J. Smith    |
| Examiner Name        | To Be Assigned   |
| Group Art Unit       | To Be Assigned   |
| Attorney Docket No.  | UTL 00151        |

**METHOD OF PAYMENT**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number  
  
Deposit  
Account  
Name☐ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status.  
See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:**

☐ Check ☒ Credit card ☐ Money  
Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee Fee Description  
Code (\$) Code (\$) Code (\$)

|     |     |     |     |                        |        |
|-----|-----|-----|-----|------------------------|--------|
| 101 | 740 | 201 | 370 | Utility filing fee     | 740.00 |
| 106 | 330 | 206 | 165 | Design filing fee      |        |
| 107 | 510 | 207 | 255 | Plant filing fee       |        |
| 108 | 740 | 208 | 370 | Reissue filing fee     |        |
| 114 | 160 | 214 | 80  | Provisional filing fee |        |

**SUBTOTAL (1)** (\$) **740.00****2. EXTRA CLAIM FEES**

|                    |    |         |   |   |                |       |   |       |
|--------------------|----|---------|---|---|----------------|-------|---|-------|
| Total Claims       | 24 | -20** = | 4 | X | Fee from below | 18.00 | = | 72.00 |
| Independent Claims | 2  | -3** =  | 0 | X | 0.00           |       | = | 0.00  |
| Multiple Dependent |    |         |   |   |                |       | = |       |

Large Entity Small Entity

Fee Fee Fee Fee Fee Description  
Code (\$) Code (\$) Code (\$)

|     |     |     |     |                                                            |  |
|-----|-----|-----|-----|------------------------------------------------------------|--|
| 103 | 18  | 203 | 9   | Claims in excess of 20                                     |  |
| 102 | 84  | 202 | 42  | Independent claims in excess of 3                          |  |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid                      |  |
| 109 | 84  | 209 | 42  | ** Reissue independent claims over original patent         |  |
| 110 | 18  | 210 | 9   | ** Reissue claims in excess of 20 and over original patent |  |

**SUBTOTAL (2)** (\$) **72.00**

\*\*or number previously paid, if greater. For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Fee Code | Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description | Fee Paid                                                                   |  |
|----------|-----------------------|-----------------------|-----------------|----------------------------------------------------------------------------|--|
| 105      | 130                   | 205                   | 65              | Surcharge - late filing fee or oath                                        |  |
| 127      | 50                    | 227                   | 25              | Surcharge - late provisional filing fee or cover sheet                     |  |
| 139      | 130                   | 139                   | 130             | Non-English specification                                                  |  |
| 147      | 2,520                 | 147                   | 2,520           | For filing a request for <i>ex parte</i> reexamination                     |  |
| 112      | 920*                  | 112                   | 920*            | Requesting publication of SIR prior to Examiner action                     |  |
| 113      | 1,840*                | 113                   | 1,840*          | Requesting publication of SIR after Examiner action                        |  |
| 115      | 110                   | 215                   | 55              | Extension for reply within first month                                     |  |
| 116      | 400                   | 216                   | 200             | Extension for reply within second month                                    |  |
| 117      | 920                   | 217                   | 460             | Extension for reply within third month                                     |  |
| 118      | 1,440                 | 218                   | 720             | Extension for reply within fourth month                                    |  |
| 128      | 1,960                 | 228                   | 980             | Extension for reply within fifth month                                     |  |
| 119      | 320                   | 219                   | 160             | Notice of Appeal                                                           |  |
| 120      | 320                   | 220                   | 160             | Filing a brief in support of an appeal                                     |  |
| 121      | 280                   | 221                   | 140             | Request for oral hearing                                                   |  |
| 138      | 1,510                 | 138                   | 1,510           | Petition to institute a public use proceeding                              |  |
| 140      | 110                   | 240                   | 55              | Petition to revive - unavoidable                                           |  |
| 141      | 1,280                 | 241                   | 640             | Petition to revive - unintentional                                         |  |
| 142      | 1,280                 | 242                   | 640             | Utility issue fee (or reissue)                                             |  |
| 143      | 460                   | 243                   | 230             | Design issue fee                                                           |  |
| 144      | 620                   | 244                   | 310             | Plant issue fee                                                            |  |
| 122      | 130                   | 122                   | 130             | Petitions to the Commissioner                                              |  |
| 123      | 50                    | 123                   | 50              | Processing fee under 37 CFR 1.17(q)                                        |  |
| 126      | 180                   | 126                   | 180             | Submission of Information Disclosure Stmt                                  |  |
| 581      | 40                    | 581                   | 40              | Recording each patent assignment per property (times number of properties) |  |
| 146      | 740                   | 246                   | 370             | Filing a submission after final rejection (37 CFR § 1.129(a))              |  |
| 149      | 740                   | 249                   | 370             | For each additional invention to be examined (37 CFR § 1.129(b))           |  |
| 179      | 740                   | 279                   | 370             | Request for Continued Examination (RCE)                                    |  |
| 169      | 900                   | 169                   | 900             | Request for expedited examination of a design application                  |  |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$) **0.00****SUBMITTED BY**

Name (Print/Type)

William J. Kolegraf

Registration No.  
(Attorney/Agent)

41,125

**Complete (if applicable)**

Telephone

858-882-2000

Signature

Date

February 2, 2002

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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02-07-02

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

02/04/02  
JC950 U.S. PTO

|             |                                                                          |   |           |                |
|-------------|--------------------------------------------------------------------------|---|-----------|----------------|
| Applicant:  | Paul J. Smith, et al.                                                    | ) | Dkt. No.: | UTL 00151      |
| Serial No.: | To Be Assigned                                                           | ) | Art Unit: | To Be Assigned |
| Filed:      | February 4, 2002                                                         | ) | Examiner: | To Be Assigned |
| Title:      | DEVICE AND SYSTEM FOR<br>RECESSING A FASTENER ON<br>A PRINTED WIRE BOARD | ) |           |                |

## TRANSMITTAL LETTER FOR UTILITY APPLICATION

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Dear Sir:

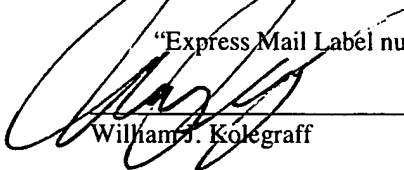
Submitted herewith is a Utility Patent Application for filing under 37 C.F.R.1.53(b). The Utility Patent Application is being submitted by express mail pursuant to 37 C.F.R. 1.10, and therefore has a filing date of the date of deposit. This application includes:

1. 25 pages of Application, which comprise:
  - 16 pages of Specification and Abstract
  - 5 pages of Claims (Nos. 1 - 21)
  - 4 pages of Tables and Figures
2. Utility Patent Application Transmittal (1 page)
3. Fee Transmittal (In Duplicate)
4. Credit Card Payment Form (1 page)
5. Declaration (2 pages)
6. Power of Attorney (2 pages)
7. Postcard

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated below and is addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

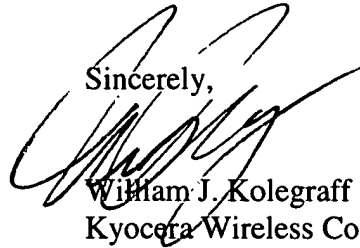
"Express Mail Label number: ET201821144US

Date of Deposit: February 4, 2002

  
William J. Kolegraff

Respectfully submitted February 4, 2002. Please address any questions or comments to the undersigned.

Sincerely,



William J. Kolegraff  
Kyocera Wireless Corp.  
PO Box 928289  
San Diego, CA 92192-8289  
Phone: 858/882-2000  
Reg. No. 41,125

10067447-020402